

\$FFHS	WHG	'HVFULSWLRQ	&RPPHQWV
	*	&RUH VZLWFK SRZHU FDEOHV SURSHUO\ ODEHOHG DQG FRQQHFWHG 3RZHU VXSSO\ VKRXOG FRQQHFW WR RXWOHW RQ WKH 836 3RZHU VXSSO\ FRQQHFW WR D UDFN PRXQW SRZHU VWULS WKDW VKRXOG EH GLUHFWO\ EXLOGLQJ SRZHU	
	+	&RUH VZLWFK WR :\$1 URXWHU FRQQHFWLRQ FDEOHV LQVWDOOHG FRUHHFWO\ ODEHOHG	
	,	&RUH VZLWFK WR :\$1 URXWHU FRQQHFWLRQ FDEOHV FRUHHFWO\ ODEHOHG	
	-	&RUH VZLWFK WR :\$1 URXWHU FRQQHFWLRQ FDEOHV QHDWO\ SODFHG LQ ZLUH PDQDJHPHQW	
	.	0XOWLPRGH ILEHU SDWFK SDQHOV SODFHG LQ ORFDWLRQV VSHFLILHG LQ GHVLJQ GRFXPHQWV	
	/	0XOWLPRGH ILEHU FDEOH SODQW QHDWO\ LQVWDOOHG ZLWK DOO VWUDQG VWHUPLQDWHG RQ 67 FRQQHFWLRQ DOORSLQV /,8	
	0	&RUH VZLWFK WR :\$1 URXWHU MXPSHU FDEOHV LQVWDOOHG FRUHHFWO\ ODEHOHG	
	1	&RUH VZLWFK WR :\$1 URXWHU MXPSHU FDEOHV FRUHHFWO\ ODEHOHG	
	2	&RUH VZLWFK WR :\$1 URXWHU MXPSHU FDEOHV QHDWO\ SODFHG LQ ZLUH PDQDJHPHQW	
	,9	/\$1 \$FFHVV 6ZLWFKHV	
	\$	+RULJRWDO ZLUH PDQDJHPHQW TXDQWLW\ DQG UDFN SODFHPHQW PDWFKHV GHVLJQ GRFXPHQDWLRQ	
	%	6ZLWFK VWDFNV EXLOW FRUHHFWO\ DQG UDFN SODFHPHQW PDWFKHV GHVLJQ GRFXPHQDWLRQ	
	&	+RVW QDPH ODEHO SODFHG RQ IURQW RI HDFK DFFHVV VZLWFK	
	'	\$.6' DVVHW WDJ SODFHG RQ EDFN VLGH RI HDFK DFFHVV VZLWFK	
	('DWD VWDFNV DQG SRZHU VWDFNLQJ FDEOHV VHFUXHO\ FRQQHFWHG	
)	\$FFHVV VZLWFK SRZHU FDEOHV ODEHOHG DQG FRQQHFWHG WR D VXUJH SURWHFWHG SRZHU VWULS WKDW LV ODEHOHG DQG FRQQHFWHG WR WKH 836	
	*	\$OO VZLWFKHV FRQQHFWHG WR FRUH VZLWFK SRUWV VSHFLILHG LQ GHVLJQ GRFXPHQDWLRQ	
	+	\$OO VZLWFK XSOLQN FDEOHV QHDWO\ GUHVVHG SODFHG LQ ZLUH PDQDJHPHQW DQG FRUHHFWO\ ODEHOHG	
	,	\$IWHU FRQQHFWLRQ WR UDQGRPO\ SRUWV RQ HDFK VZLWFK D FRPSXWHU FDQ G\QDPLFDOO\ REWDLQ 3 LQIRUPDWLRQ DQG DFFHVV YDULRXV LQWHUQDO DQG H[WHUQDO UHVRXUFHV	

\$FFHS	WHG	'HVFULSWLRQ	&RPPHQWV
	-	&RSSHU SDWFK SDQHO TXDQWLW\ DQG UDFN SODFHPHQW PDWFKHV GHVLJQ GRFXPHQWDWLRQ	
	.	&RSSHU SDWFK SDQHO\ LQVWDOOHG ZLWK FDEOH DQG SDWFK SDQHO SRUWV FRUUHFWO\ ODEHOHG	
	/	&RSSHU SDWFK FDEOHV FRQQHFW SDWFK SDQHO SRUWV WR VZLWFK SRUWV LQ D WR FRUUHVSRQGQH	
	0	&RSSHU SDWFK FDEOHV QHDWO\ GUHVHVG DQG SODFHG LQ ZLUH PDQDJHPHQW	
	9	836	
	\$	836 PDQDJHPHQW SRUW FRQQHFWHG WR VSHFLILHG /\$1 DFFHVV VZLWFK SRUW &DEOH QHDWO\ GUHVHVG SODFHG LQ ZLUH PDQDJHPHQW DQG FRUUHFWO\ ODEHOHG	

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7KXVH LWHPV VKRXOG EH FKHFNHG LQ DOO ,')V

\$FFHSWHG	'HVFULSWLRQ	&RPPHQWV
	9, *HQHUDO	
\$	3RZHU LQVWDOODWLRQ GRFXPHQWDWLRQ	PDWFKHV GHVLJQ
%	(TXLSPHQW UDFN ODGGHU UDFN DQG \$,6' HTXLSPHQW SODFH GHVLJQ GRFXPHQWDWLRQ	PHQW PDWFKHV
&	5DFNV ODEHOHG DQG	QXPEHUHG
'	:RUNVSDFH FOHDQ DQG DOO SDFNLQJ DQG RU VFUDS PDWHULDOV UHPRYHG DQG GLVSRVHG	
	, &DEOLQJ	
\$	1HZ PXOWLPRGH ILEHU FDEOH SODQW QHDWO\ LQVWDOOHG ZLWK DOO VWUDQG WHUPLQDWHG RQ 67 FRQQHFWRUV DQG LQVWDOOHG LQ DQ /,8 WKDW LV FRUHFWRU\ ODEHOHG	
%)LEHU /,8 SODFHG LQ LQ GHVLJQ GRFXPHQW	ORFDWLRQ VSHFLILHG
&	&RSSHU SDWFK SDQHO UDFN SODFH PDWFKHV GHVLJQ GRFXPHQWDWLRQ	PHQW
'	&RSSHU SDWFK SDQHO UDFN SODFH PDWFKHV GHVLJQ GRFXPHQWDWLRQ	PHQW
(+RULJRQWDO ZLUH PDQDJH SODFH PHQW PDWFKHV GHVLJQ GRFXPHQWDWLRQ	PHQW UDFN
	,, /\$1 \$FFHV 6ZLWFKHV	
\$	+RULJRQWDO ZLUH PDQDJH DQG UDFN SODFH PHQW PDWFKHV GHVLJQ GRFXPHQWDWLRQ	PHQW TXDQWLW\
%	6ZLWFK TXDQWLW\ DQG UDFN SODFH PDWFKHV GHVLJQ GRFXPHQWDWLRQ	PHQW
&	+RVW QDPH ODEHO SODFHG RQ IURQW RI HDFK DFFHV VZLWFK	
'	\$,6' DVVHW WDJ SODFHG RQ VLGH RI HDFK DFFHV VZLWFK	
('DWD VWDFNLQJ FDEOHV VHF VWDFNLQJ FDEOHV VHF	SRZHU XUHO\ FRQQHFWHG
)	\$FFHV VZLWFK SRZHU FDEOHV FRQQHFWHG WR D UDFN PRXQW SRZHU VWULS WKDW LV FRQQHFWHG WR EXLOGLQJ SRZHU	
*	0XOWLPRGH ILEHU SDWFK SDQHO SODFHG LQ ORFDWLRQ VSHFLILHG LQ GHVLJQ GRFXPHQW	
+	0XOWLPRGH ILEHU FDEOH SODQW QHDWO\ LQVWDOOHG ZLWK DOO VWUDQG WHUPLQDWHG RQ 67 FRQQHFWRUV DQG LQVWDOOHG LQ /,8	
,	\$OO VZLWFKHV FRQQHFWHG WR PXOWLPRGH ILEHU SDWFK SDQHO SRUWV VSHFLILHG LQ GHVLJQ GRFXPHQWDWLRQ	

\$FFHS	WHG	'HVFULSWLRQ	&RPPHQWV
	-	\$OO VZLWFK XSOLQN FDEOHV QHDWO\ GUHVVHG SODFHG LQ ZLUH PDQDJHPHQW DQG FRUUHFWO\ ODEHOHG	
	.	\$IWHU FRQQHFWLQJ WR UDQGRPO\ VHOHFWHG SRUWV RQ HDFK VZLWFK D FRPSXWHU FDQ G\QDPLFDOO\ REWDLQ 3 LQIRUPDWLRQ DQG DFFHVV YDULRXV LQWHUQDO DQG H\WHUQDO UHVRXUFHV	
	/	&RSSHU SDWFK SDQH TXDQWLW\ DQG UDFN SODFHPHQW PDWFKHV GHVLJQ GRFXPHQDWLRQ	
	0	&RSSHU FQWOHFWO\ LQVWDOOHG HQ% m	